

# INFORMATION PURSUANT TO AKTG & EU-DVO



## Annual General Meeting of AIXTRON SE on 19 May 2021

Information pursuant to section 125 of the German Stock Corporation Act (Aktengesetz, "AktG") in conjunction with the Implementing Regulation (EU) 2018/1212 ("EU-DVO")

### A. Specification of the message

1. Unique identifier of the event: Ordinary virtual general meeting of AIXTRON SE 2021  
**(Formal specification according to EU-DVO: AIXA052021oHV)**
2. Type of message: Convocation of the General Meeting  
**(Formal specification according to EU-DVO: NEWM)**

### B. Specification of the issuer

1. ISIN: DE000A0WMPJ6, DE000A3H3MC9
2. Name of the issuer: AIXTRON SE

### C. Specification of the meeting

1. Date of the General Meeting: May 19, 2021  
**(Formal specification according to EU-DVO: 20210519)**
2. Time of the General Meeting: 10:00 hrs (CEST)  
**(Formal specification according to EU-DVO: 8:00 a.m. UTC)**
3. Type of the General Meeting: Annual General Meeting as virtual event without the physical presence of shareholders or their proxies  
**(Formal specification according to EU-DVO: GMET)**
4. Location of the General Meeting:  
URL to the company's internet service for the following of the General Meeting in video and audio and for exercising shareholders' rights: [www.aixtron.com/agm](http://www.aixtron.com/agm)  
Location of the General Meeting as defined by the German Stock Corporation act: Auf der Hüls 170, 52068 Aachen, Germany  
**(Formal specification according to EU-DVO: [www.aixtron.com/agm](http://www.aixtron.com/agm))**

# INFORMATION PURSUANT TO AKTG & EU-DVO



## 5. Technical Record Date: 12. Mai 2021, 24:00 hrs (CEST)

The shareholding entered in the share register on the day of the General Meeting shall be decisive for participation and voting rights, irrespective of any securities account holdings. Orders to change the share register received by the company after the end of the registration deadline in the period from May 13, 2021, 00:00 hrs (CEST) up to and including May 19, 2021, 24:00 hrs (CEST) will only be processed and taken into account with effect after the day of the General Meeting on May 20, 2021. The technical record date is therefore May 12, 2021, 24:00 hrs (CEST).

**(Formal specification according to EU-DVO: 20210512, 10:00 p.m. UTC)**

## 6. Uniform Resource Locator (URL): [www.aixtron.com/agm](http://www.aixtron.com/agm)

### **D. Participation in the general meeting**

#### **1. Method of participation by shareholder**

Exercise of voting rights by postal vote

Exercise of voting rights by issuing powers of attorney and instructions to the proxies appointed by the company

Following of the virtual General Meeting by means of electronic video and audio transmission

**(Formal specification according to EU-DVO: EV, PX)**

#### **2. Issuer deadline for the notification of participation**

Registration for the General Meeting by: May 12, 2021, 24:00 hrs (CEST) (received by the company).

The exercise of voting rights by postal vote, the exercise of voting rights by granting proxy and issuing instructions to the proxy appointed by the company, and the following of the virtual General Meeting by means of electronic video and audio transmission require timely registration. The relevant deadlines for exercising these rights are set out in D.3.

**(Formal specification according to EU-DVO: 20210512; 10:00 p.m. UTC)**

#### **3. Issuer deadline for voting**

Exercising voting rights by postal vote / exercising voting rights by granting proxy and issuing instructions to the company-nominated proxy / authorizing third parties,

- made by letter (postal vote) or by email/fax (postal vote; granting of proxy and instructions to the proxy nominated by the company): May 18, 2021, 12:00 hrs (CEST) (received by the company);

# INFORMATION PURSUANT TO AKTG & EU-DVO



- electronically via the shareholder portal ([www.aixtron.com/agm](http://www.aixtron.com/agm)): beyond May 18, 2021, 12:00 hrs (CEST) until the formal end of the opportunity to exercise voting rights following the response to questions by the chairman of the meeting on the day of the General Meeting

It will be possible to follow the virtual General Meeting by means of electronic video and audio transmission from 10:00 hrs (CEST) on May 19, 2021 until the end of the General Meeting.

**(Formal specification according to EU-DVO: 20210518; 10:00 a.m. UTC)**

# INFORMATION PURSUANT TO AKTG & EU-DVO



## E. Agenda

### Agenda item 1

1. Unique identifier of the agenda item: 1
2. Title of agenda item: Presentation of the adopted annual financial statements of AIXTRON SE as of December 31, 2020, the approved consolidated financial statements as of December 31, 2020, and the combined management report for AIXTRON SE and the Group for the 2020 financial year, the report of the Supervisory Board, the proposal of the Management Board for the appropriation of net profits, and the explanatory report of the Management Board on the disclosures pursuant to sections 289a para. 1 and 315a para. 1 of the German Commercial Code (*Handelsgesetzbuch*)
3. Uniform Resource Locator (URL) of the materials: [www.aixtron.com/agm](http://www.aixtron.com/agm)
4. Vote: None
5. Alternative voting options: None

### Agenda item 2

1. Unique identifier of the agenda item: 2
2. Title of agenda item: Resolution on the appropriation of net income for the 2020 financial year
3. Uniform Resource Locator (URL) of the materials: [www.aixtron.com/agm](http://www.aixtron.com/agm)
4. Vote: Binding Vote  
**(Formal specification according to EU-DVO: BV)**
5. Alternative voting options: Vote in favor, vote against, abstention  
**(Formal specification according to EU-DVO: VF, VA, AB)**

### Agenda item 3

1. Unique identifier of the agenda item: 3
2. Title of agenda item: Resolution on the formal approval of actions of the members of the Management Board of AIXTRON SE for the 2020 financial year
3. Uniform Resource Locator (URL) of the materials: [www.aixtron.com/agm](http://www.aixtron.com/agm)
4. Vote: Binding Vote  
**(Formal specification according to EU-DVO: BV)**
5. Alternative voting options: Vote in favor, vote against, abstention  
**(Formal specification according to EU-DVO: VF, VA, AB)**

# INFORMATION PURSUANT TO AKTG & EU-DVO



## Agenda item 4

1. Unique identifier of the agenda item: 4
2. Title of agenda item: Resolution on the formal approval of actions of the members of the Supervisory Board of AIXTRON SE for the 2020 financial year
3. Uniform Resource Locator (URL) of the materials: [www.aixtron.com/agm](http://www.aixtron.com/agm)
4. Vote: Binding Vote  
**(Formal specification according to EU-DVO: BV)**
5. Alternative voting options: Vote in favor, vote against, abstention  
**(Formal specification according to EU-DVO: VF, VA, AB)**

## Agenda item 5

1. Unique identifier of the agenda item: 5
2. Title of agenda item: Resolution on elections to the Supervisory Board
3. Uniform Resource Locator (URL) of the materials: [www.aixtron.com/agm](http://www.aixtron.com/agm)
4. Vote: Binding Vote  
**(Formal specification according to EU-DVO: BV)**
5. Alternative voting options: Vote in favor, vote against, abstention  
**(Formal specification according to EU-DVO: VF, VA, AB)**

## Agenda item 6

1. Unique identifier of the agenda item: 6
2. Title of agenda item: Resolution on the confirmation of the remuneration of the members of the Supervisory Board
3. Uniform Resource Locator (URL) of the materials: [www.aixtron.com/agm](http://www.aixtron.com/agm)
4. Vote: Binding Vote  
**(Formal specification according to EU-DVO: BV)**
5. Alternative voting options: Vote in favor, vote against, abstention  
**(Formal specification according to EU-DVO: VF, VA, AB)**

# INFORMATION PURSUANT TO AKTG & EU-DVO



## **Agenda item 7**

1. Unique identifier of the agenda item: 7
2. Title of agenda item: Resolution on the election of the auditor of the annual financial statements and the auditor of the consolidated financial statements for the 2021 financial year
3. Uniform Resource Locator (URL) of the materials: [www.aixtron.com/agm](http://www.aixtron.com/agm)
4. Vote: Binding Vote  
**(Formal specification according to EU-DVO: BV)**
5. Alternative voting options: Vote in favor, vote against, abstention  
**(Formal specification according to EU-DVO: VF, VA, AB)**

# INFORMATION PURSUANT TO AKTG & EU-DVO



## **F. Specification of the deadlines regarding the exercise of other shareholders rights**

### **Shareholder right – Additions to the Agenda pursuant to Art. 56 SE Regulation, section 50 para. 2 SE Implementation Act, section 122 para 2 AktG**

1. Object of deadline: Transmission of the request for additions to the agenda
2. Applicable issuer deadline: April 18, 2021, 24:00 hrs (CEST) (Receipt by the company)  
**(Formal specification according to EU-DVO: 20210418, 10:00 p.m. UTC)**

### **Shareholder right – Counter-motions pursuant to section 126 para. 1 AktG**

1. Object of deadline: Transmission of the counter-motion to the proposed resolutions on the items of the agenda
2. Applicable issuer deadline: May 4, 2021, 24:00 hrs (CEST) (Receipt by the company)  
**(Formal specification according to EU-DVO: 20210504, 10:00 p.m. UTC)**

### **Shareholder right – Nominations pursuant to section 127 AktG**

1. Object of deadline: Transmission of the nominations of the supervisory board members or auditors
2. Applicable issuer deadline: May 4, 2021, 24:00 hrs (CEST) (Receipt by the company)  
**(Formal specification according to EU-DVO: 20210504, 10:00 p.m. UTC)**

### **Shareholder right – Right to ask questions pursuant to section 131 AktG in conjunction with section 1 para. 2 sentence 1 No 3 and sentence 2 PandemieG**

1. Object of deadline: Electronic submission of questions
2. Applicable issuer deadline: May 17, 2021, 24:00 hrs (CEST) (Receipt by the company)  
**(Formal specification according to EU-DVO: 20210517, 10:00 p.m. UTC)**